



P A T E N T
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: B. Werner

7/15
DRW
P-2-00

Filed: April 11, 2001

Group Art Unit: 2621

For: APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

RECEIVED

Docket No.: 1171.1102101 (formerly 1023.1110102)

SEP 27 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT
BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))**

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

this 17th day of September, 2002.

By


David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

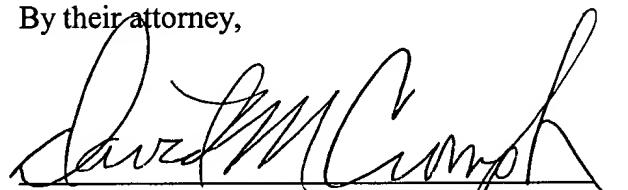
The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

Accompanying this transmittal are fees in the amount of \$180.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,



David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Dated: 9/17/02

2621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Robert K. Rowe et al.

Serial No.: 09/832,534 Examiner: B. Werner

Filed: April 11, 2001 Group Art Unit: 2621

For: APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

Docket No.: 1171.1102101 (formerly 1023.1110102)

TRANSMITTAL SHEET

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

SEP 27 2002

Technology Center 2600

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 17th day of September, 2002.

By David M. Crompton
David M. Crompton

We are transmitting herewith the attached:

Amendment

No additional fee required
 The fee has been calculated as shown:

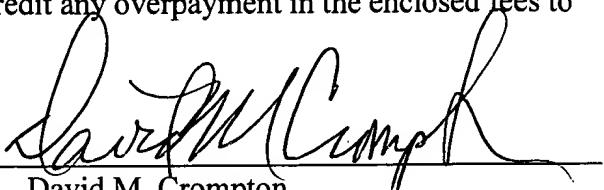
CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM			+135=	\$	+270=	\$	
TOTAL				\$		\$	

[XX] A check in the amount of \$180.00 (Fee Code 126) is enclosed.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c)), FORM PTO-1449 AND CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 

David M. Crompton

Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349